

# Pharmaceutical Biotechnology 2024

## Lecture 14

# Chapter 6.1. REGULATORY ISSUES in Pharmaceutical Biotechnology

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# The Development Process –by Decision Points



> 100'000 real / virtual NMEs

> 40 NMEs leads

> 20 candidates

> 10 clinically tested

> 1.5 products

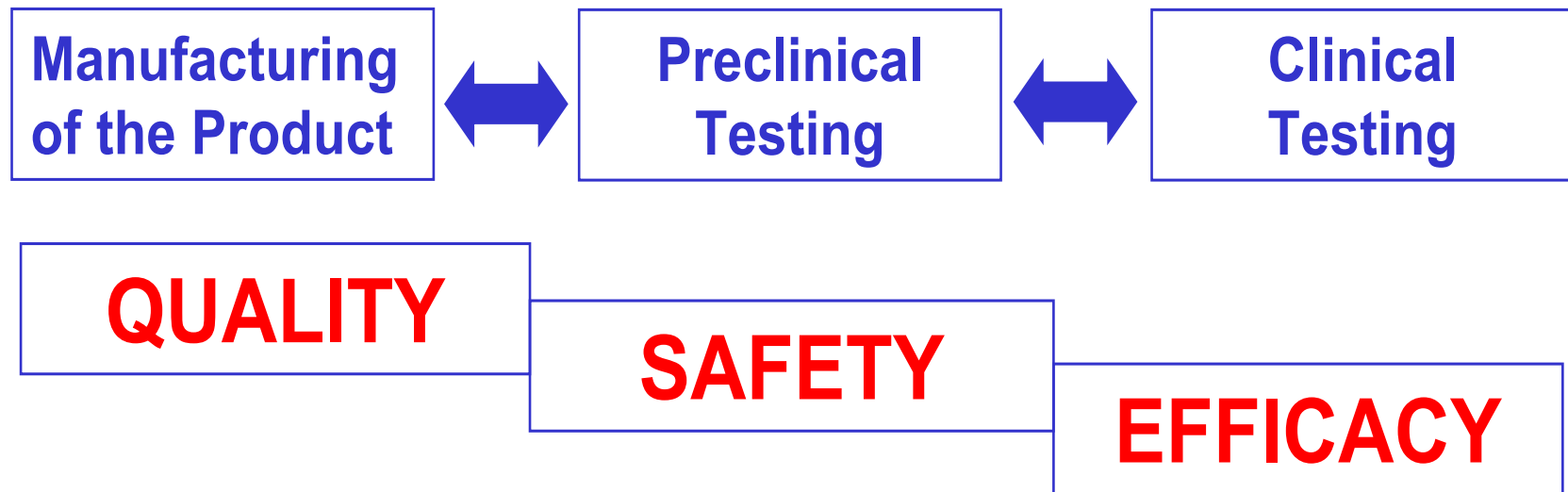
➤ Discovery

➤ Proof of Concept

➤ Commercialization

**NME – New Molecular Entity**

# The Development Process

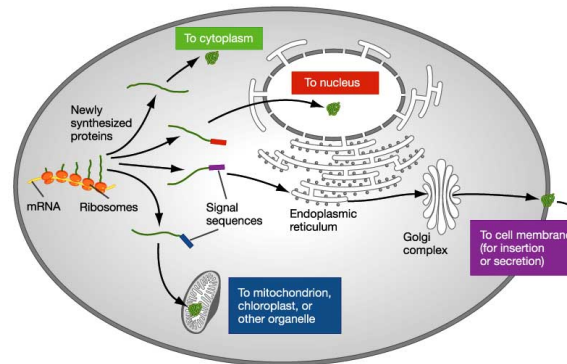


# Standardized production - challenge of biotechnologic - derived medicinal products



## Synthetic versus biotechnology-derived Medicinal Products – the difference

### Difference in complexity



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## **Synthetic versus biotechnology-derived Medicinal Products – the difference**

**„The process makes the product“**

**Any change in the production may  
change the product**

**Any change in the product may  
change the efficacy and safety**

# Product Development

## Which Product?

Manufacturing - synthetic versus biotech

Formulation – transport vehicle to the target

Packaging – a tricky challenge



## Which product?

### Major questions to be asked

- Which are the potential indication?
- What is the suitable application route?
- Which administration forms are possible with the active principle?



# Preclinical Development

What is the purpose of preclinical development?

What has to be avoided by all means?



## Preclinical Development – Cautious approach

### **Botulinum toxin** (*Clostridium botulinum*)

Human lethal dose:

**1.3–2.1 ng/kg intravenously or  
Intramuscularly**

(10–13 ng/kg when inhaled).

### **Curare** (plant derived alkaloid):

Human lethal dose, **375  $\mu\text{g kg}^{-1}$**  (injected)

## Preclinical Development – Cautious approach



- In-silico
- In-vitro
- Ex-vivo
- Animal models

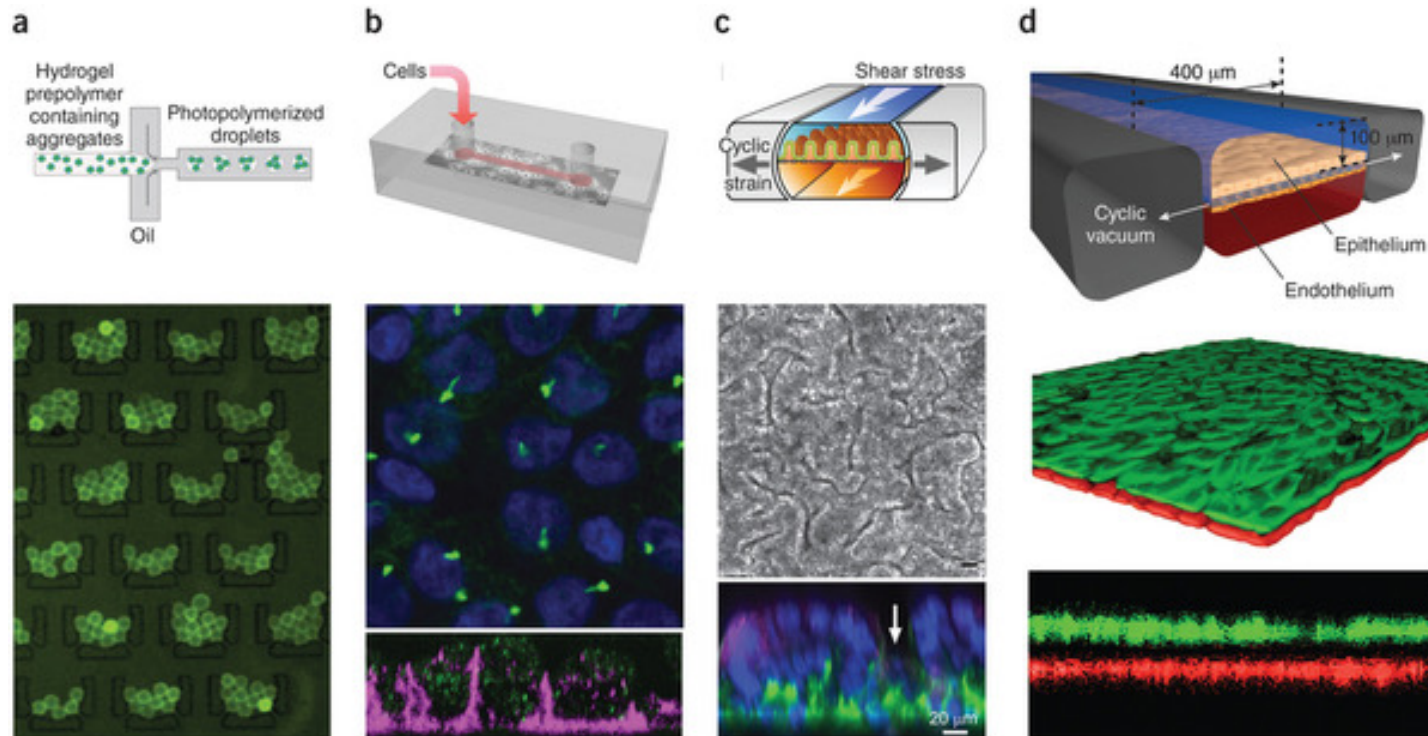


# Microfluidic organs-on-chips

- For preclinical studies
- *Nature Biotechnology* **32**, 760–772 (2014)

# Micro-tissues in hydrogels

- (a) liver-on-a-chip
- (b) kidney-on-a-chip
- (c) gut-on-a-chip
- (d) lung-on-a-chip



## Preclinical Development – Cautious approach

### Toxicology

- Acute
- Sub-Chronic
- Chronic



# Preclinical Development – Cautious approach

## Answers from Preclinical Testing

**Minimal toxic dose**

**Minimal therapeutic concentration**

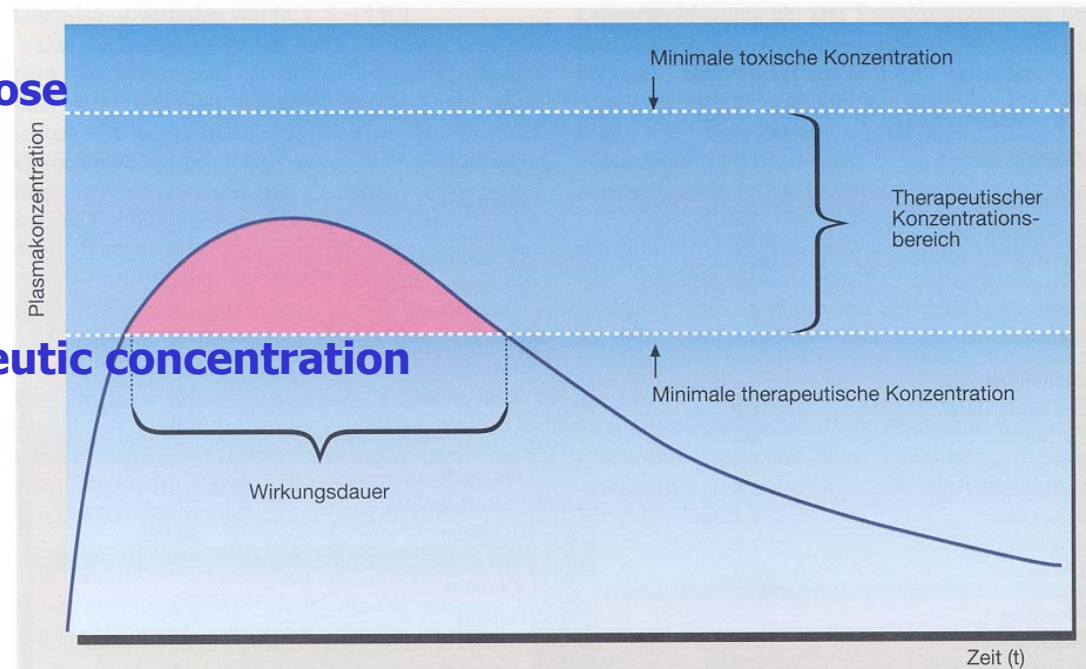


Abb. A 2-18. Ermittlung des therapeutischen Konzentrationsbereichs durch Bestimmung der minimalen therapeutischen und der minimalen toxischen Wirkstoffkonzentration

# Preclinical Development – Cautious approach

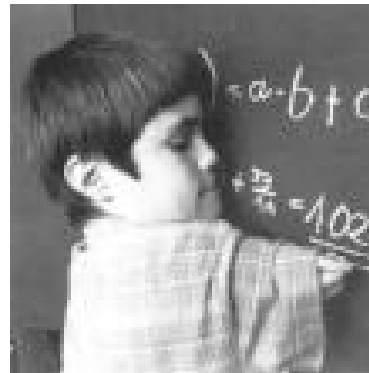
## Reproduction



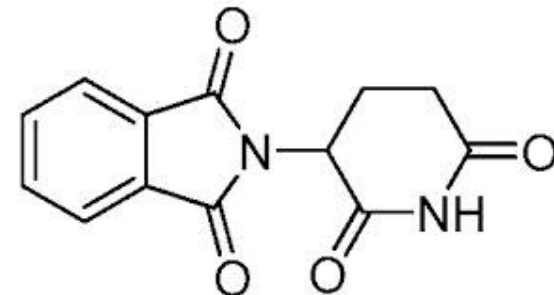
# Preclinical Development – Cautious approach

## Teratogenicity

Contergan = Thalidomide  
Germany: 1961/62



## Thalidomide

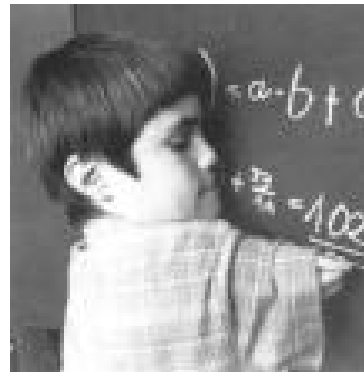




# Preclinical Development – Cautious approach

## Teratogenicity

A teratogen is an agent that can disturb the development of an embryo or fetus. Teratogens may cause malformation of organs or parts of the body.

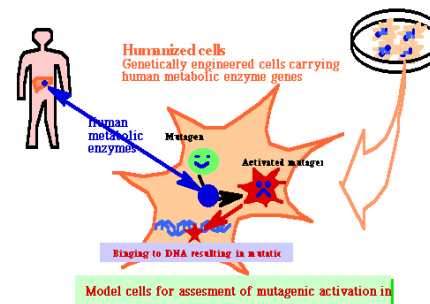


**Thalidomide**

## Preclinical Development – Cautious approach

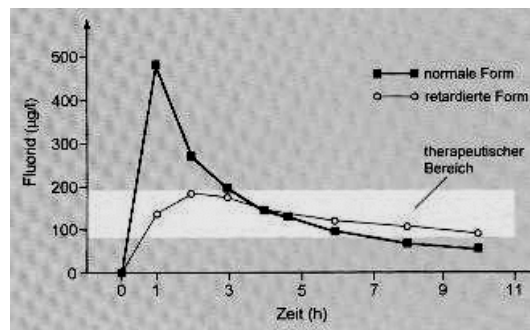
### Mutagenicity

#### Humanized cells for mutagenic assay



## Preclinical Development – Cautious approach

### Pharmacokinetics



# Preclinical studies

- Preclinical trials involve cell culture and animal studies, not studies in human. The main purpose of preclinical trials is to test the **biosafety** of a product before it is applied for clinical studies.
- **Main Parameters, which are tested:**
  - Toxicity
  - Therapeutic range/Pharmacokinetics
  - Mutagenicity
  - Teratogenicity
  - Effects on reproduction, lifetime

# Clinical Development

Bioavailability

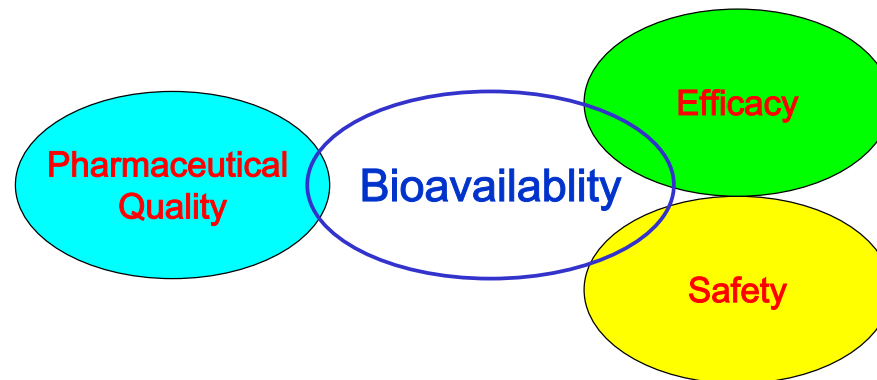
First in man

Which dosage / regimen?

Design



## Bioavailability



## First Steps in Man Phase I

### Question 1:

How does the body process a new drug?

- **Absorption**
- **Distribution**
- **Metabolism**
- **Excretion**



### **Kinetic properties**

- **Time course of drug concentration**
- **Interface between dose and concentration**

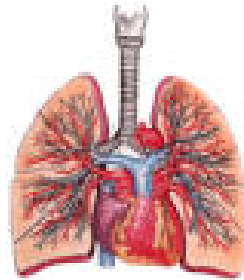


### **Bioavailability**

Question 2 – Which dose is necessary to reach the target?

# First Steps in Man Phase I

**Active principle at target?**



# **First Steps in Man    Phase I**

## **Question 3**

**Did the required dose of drug reached the target?**



## **Pharmacodynamic properties**

- **Relationship between concentration at site of action and its effect**

# Phase I clinical study

- **Patients:** 20 to 100 healthy volunteers
- **Length of Study:** Several months
- **Purpose:** Safety, Pharmacokinetics, Pharmacodynamics, Bioavailability, Dosage to reach the target.
- **Approximately 70% of drugs move to the next phase**



# Optimizing Dose Regimen

## Phase II

### Dosage? – Administration scheme?

10 mg ?

15 mg ?

Before meal?

mornings?



once /w ?

evenings?

once /d ?

40 mg ?

several times /d?

# Optimizing Dose Regimen

## Phase II

### Challenge

- Accurate information
- Limited number of patients
- Within short time

### Strategic approach dependent on

- Indication
- Efficacy parameters
- Patients

# Optimizing Dose Regimen

## Phase II

### Typical number of patients

- 80 – few 100

### Typical study designs

- Parallel
- Comparative (i.e. dose)
- One to few centers
- To select efficacious dose regimen

# Optimizing Dose Regimen

## Phase II

### Biomarker



# Optimizing Dose Regimen

# Phase II

## Biomarker - Definition

- a physiological response or laboratory measurement that occurs in association with a pathological process and has putative diagnostic and/or prognostic utility.
- it does not necessarily indicate efficacy or toxicity

# Phase II clinical trials

- **Patients:** Up to a few hundred people with the disease/condition
- One to few centers
- Parallel/comparative
- **Length of the study:** several months to 2 years
- **Purpose:** Find efficacy dose, side effects
- Approximately 33 % of the drugs move to the next phase

Source FDA

## Confirmation of efficacy and safety Phase III

### Typical number of patients

- 500 – x1000

### Typical study designs

- Controlled
- Placebo and/or comparative
- Double blind
- Parallel
- Multicenter and multinational
- To prove efficacy, superiority, or equivalency



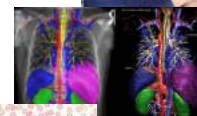
## Confirmation of efficacy and safety Phase III

### Challenge

- Standardization of selection of patients
- Standardization of data collection
- Compliance with Good Clinical Practice

### Strategic approach dependent on

- Indication
- Efficacy parameters
- Patients



# Challenges in Conducting Clinical Studies

## Patients





# Phase III clinical trial

- **Patients** 500-3000 volunteers with the disease/condition
- Multicenter/multinational
- Length of the study: 1-4 years
- Placebo/comparative
- Double blind
- Parallel
- **Purpose:** Efficacy and monitoring of adverse effects

Source FDA

# Marketing Authorization



## The responsibility of authorities



<http://www.emea.eu.int>



**U.S. Food and Drug Administration**



<http://www.fda.gov>



<http://www.swissmedic.ch>



# The responsibility of authorities

- Prove of quality
- Prove of efficacy
- Prove of safety